

# PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q91798

Shingo KIKUCHI, et al.

Appln. No.: 10/562,830

Group Art Unit: 2681

Confirmation No.: 6478

Examiner: Not Yet Assigned

Filed: December 29, 2005

For: RADIO COMMUNICATION SYSTEM AND TRANSMISSION MODE SELECTING

**METHOD** 

## REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination

Filing Receipt Correction

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction:

Please correct the Applicant(s) section of the Official Filing Receipt as shown below.

## Applicant(s)

Shousei Yoshida, Tokyo, Japan;

Yoshikazu Kakura, Tokyo, Japan;

Akihisa Ushirokawa, Tokyo, Japan;

Masayuki Kimata, Tokyo, Japan

Verification for the requested correction is indicated on the executed Declaration, filed December 29, 2005.

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: September 26, 2006

Respectfully submitted,

Toward L. Bernstein

Registration No. 25,665



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Day 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 TOT CLMS IND CLMS DRAWINGS ART UNIT FIL FEE REC'D ATTY.DOCKET NO APPL NO. (c) DATE 19\_ 3\_ 10/562,830 12/29/2005 2681 900 -Q91798

**CONFIRMATION NO. 6478** 

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037

FILING RECEIPT \*OC000000018389168\*

Date Mailed: 03/31/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shingo Kikuchi, Tokyo, JAPAN; -Shousei Yoshida, Tokyo, Japan; Yoshi Kazu Kakura, Tokyo, Japan;

AKihisa UshiroKawa, Tokyo, Japan masayuKi Kimata, Tokyo, Japan

Power of Attorney: The patent practitioners associated with Customer Number 23373.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/09463 06/28/2004

Foreign Applications

JAPAN 2003-187156 06/30/2003

If Required, Foreign Filing License Granted: 03/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,830** 

Projected Publication Date: 07/06/2006

Non-Publication Request: No

Early Publication Request: No

Title



**Preliminary Class** 

455

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

, -			V4
75	CN	ーわさ	64.)
, ,		<b>U</b> .3	7.
Docket No.:			

	OF ATTORNEY FOR UTILITY OR DESIGN PATENT	
OTOT IN INTOXI IND DOUGH	ου Αυτουνίσεν σου έμετε ίτν ου υρείζεν ραθίκου	APPLICATION (37 CFR 1.03)
DRI LARATION AND POWER	OF ALTURINET FUR UTILITE OR DESIGN LATERIA	ALI DICATION (57 CIAL AND

As a below named inventor, I hereby declare that: My residence, mailing address, and citizenship are as stated below next to my

name. I believe I am the original, first plural names are listed below) of the su	and sole inventor ( bject matter which	if only one name is listed by is claimed and for which a p	atent is sought	on the invention	entitled:
RADIO COMMUNICATION	SYSTEM AND TRA	ANSMISSION MODE SELL	ECTING MET	HOD	
the application of which is attached hereto	OR F	☐ was filed onJune >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>	_28 <b>,</b> 2004 <b>XXXXXXXXX</b> XXXXXXXXXXXXXXXXXXXXXXXXXXX		
I hereby state that I have reviewed and by any amendment specifically referred	d understand the co	ntents of the above identifie	d application, i	ncluding the clai	ims, as amende
I acknowledge the duty to disclose continuation-in-part application(s), mathe national or PCT international filing	terial information v	vhich became available bety	ity as defined ween the filing	in 37 CFR 1.50 date of the prior	5, including for application ar
I hereby claim foreign priority under 3 breeder's rights certificate(s), or 365(a United States of America, listed belo inventor's or plant breeder's rights capplication on which priority is claime	) of any PCT inten w and have also id ertificate(s), or any	national application(s) which entified below, by checking	h designated at the box, any	least one count foreign applicati	y other than the on(s) for pater
	Count	ry Filing	Date	Priority Yes	Claimed No
Prior Application Number(s)  2003–187156	Japan Japan	June 30 June 28	, 2003	<u>х</u> л .х	
PCT/JP2004/009463  I hereby claim benefit under 35 United	•				w.
•	olication Number(s)	,	Filing Date		
I hereby claim benefit under 35 Unit application(s) designating the United 3 not disclosed in a listed prior United S United States Code, §112, I acknowldefined in 37 C.F.R. 1.56 which occur date of this application:	States, listed below tates or PCT Intern edge my duty to d	and, insofar as the subject n ational application in the ma isclose any information ma	natter of each on nner provided terial to the pa	of the claims of the by the first parage atentability of the	ns application raph of Title 3 is application
Prior U.S. or International Application	Number(s)	U.S. or International Filing E	ate	Sta	tus .
		C who are listed under the	TIODEO O -4	Nlanaha	

I hereby appoint all attorneys of SUGHRUE MION, PLLC who are listed under the USPTO Customer Number shown below as my attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, recognizing that the specific attorneys listed under that Customer Number may be changed from time to time at the sole discretion of Sughrue Mion, PLLC, and request that all correspondence about the application be addressed to the address filed under the same USPTO Customer Number.

washington office 23373

CUSTOMER NUMBER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:					
Given Name  (Great and middle (if anyl) Shir	IGO	Family Name or Surname KIKUCHI			
(Hist and Hindule [H arry]) Shirings					
Inventor's Signature	ro Kikuch	L &	Date November 16, 2005		
Residence: City Tokyo, Japan	State	Country Japan	Citizenship Japanese		
Mailing Address: c/o NEC Corpo	pration, 7-1, Shiba	5-chome, Minato-ku	ı, Tokyo, Japan		
City	State	Zip	Country		
NAME OF SECOND INVENTOR:		·			
Given Name (first and middle [if any]) Show	usei	Family Name or Surnam	ne YOSHIDA		
81	ousei Yoshida	(E)			
Inventor's Signature	ouser journa	<u> </u>	Date November 16, 2005		
Residence: City Tokyo, Japan	State	Country Japan	Citizenship Japanese		
Mailing Address: c/o NEC Corpo	oration, 7-1, Shiba	5-chome, Minato-k	ı, Tokyo, Japan		
	State	Zip	Country		
NAME OF THIRD INVENTOR:	June	_ <del></del> _			
Given Name	.,	Family Name or Surnam	IP IVALITA		
	hikazu Hiboayu Hahw				
Inventor's Signature 4014	woagn gann		Date November 16, 2005		
Residence: City Tokyo, Japan	State	Country Japan	Citizenship Japanese		
Mailing Address: c/o NEC Corpo	oration, 7-1, Shiba	5-chome, Minato-k	u, Tokyo, Japan		
City	State	Zip	Country		
NAME OF FOURTH INVENTOR:					
Given Name (first and middle [if any]) Ak	ihisa	Family Name or Surnan	ne USHIROKAWA		
(Instance in any)		经	Date November 16, 2005		
Inventor's Signature UK	ihia Ushiro	rava III	Date November 10, 2003		
Residence: City Tokyo, Japan	State	Country Japan	Citizenship Japanese		
Mailing Address: c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan					
City	State	Zip	Country		
NAME OF FIFTH INVENTOR:					
Given Name (first and middle [if any]) Ma	sayuki	Family Name or Surnan	ne KIMATA		
Inventor's Signature Masayuki Kimata Date November 16, 2005					
Residence: City Tokyo, Japan	State	Country Japan	Citizenship Japanese		
Mailing Address: c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan					
		Zip	Country		
City NAME OF SIXTH INVENTOR:	State	Leip			
	<del></del>				